

# **CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.  
1316.1036D4CC

First Named Inventor:  
Jae-Hoon HEO

Title: **RECORDING AND REPRODUCING APPARATUS FOR  
USE WITH OPTICAL RECORDING MEDIUM HAVING  
REAL-TIME, LOSSLESSLY ENCODED DATA**

Express Mail Label No.

## **APPLICATION ELEMENTS**

See MPEP chapter 600  
concerning utility  
patent application contents.

### ADDRESS TO:

**Commissioner for Patents  
Box Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract..... [Total Pages: 13]
3. ☒ Drawing(s) (35 USC 113)..... [Total Sheets: 2] [FIGS. 1 - 4]
4. ☒ Oath or Declaration..... [Total Pages: 1]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
  - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☒ Applicant claims foreign priority benefit to: Korean Patent Application 98-16182, filed May 6, 1998 in the Korean Patent Office
9. ☐ Application Data Sheet. See 37 C.F.R. 1.76
10. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
12. ☒ Assignment to Samsung Electronics Co., LTD. of Suwon-City, Republic of Korea at Reel 010127, Frame 0541  
☒ for publication of assignee information under 37 CFR 1.215(b)

17510 U.S. PTO  
10/693944

10/28/03

## ACCOMPANYING APPLICATION PARTS

13. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
  - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 09/304,264.
  - b. ☐ Certified Copy of Priority Document(s) enclosed.
  - c. ☐ Certified Copy of Priority Document(s) to follow.
16. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
17. ☒ Preliminary Amendment
  - a. ☐ enclosed herewith.
  - b. ☒ incorporated herein (see Box 21).
18. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
19. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
20. ☐ Other:

**21. CONTINUING APPLICATION**, *check appropriate box and supply the requisite information below:*

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10/290,278.

Prior application information: Examiner: Talivaldis Ivars Smits  
Group/Art Unit: 2655


**REMARKS**

In accordance with the foregoing, the specification has been amended to reference the parent application, and to comply with the new rules regarding preferred format of the application. Original claims 1 – 20 from the parent application have been cancelled without prejudice or disclaimer, and claims 1 – 17 have been newly added. No new matter is presented.

**22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171****21171**

PATENT TRADEMARK OFFICE

**23. SIGNATURE OF ATTORNEY OR AGENT**

NAME	James G. McEwen	REGISTRATION NO.	41,983
SIGNATURE		DATE	October 28, 2003

102803

13281 U.S. PTO

S&amp;H Form (10/03)

<b>NEW APPLICATION FEE TRANSMITTAL</b>		Attorney Docket No.	1316.1036D4CC
		Application Number	
		Filing Date	October 28, 2003
AMOUNT ENCLOSED	\$ 770.00	First Named Inventor	Jae-Hoon HEO

**FEE CALCULATION** (fees effective 10/01/01)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS		17 - 20 =	0	X \$ 18.00 =	\$ 0.00
INDEPENDENT CLAIMS		1 - 3 =	0	X \$ 86.00 =	0.00
MULTIPLE DEPENDENT CLAIMS (any number; if applicable)				+ \$290.00 =	
				BASIC FILING FEE	770.00
				Total of above Calculations =	\$ 770.00
Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)					
Reduction by 50% for filing by small entity (37 CFR 1.27).					
				TOTAL FILING FEE =	\$ 770.00
Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))					
Recordation of Assignment (\$40.00; 37 CFR 1.21(h))					
				TOTAL FEES DUE =	\$ 770.00

**METHOD OF PAYMENT**

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

**GENERAL AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

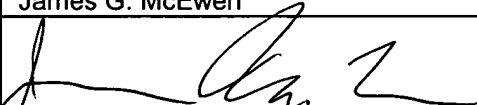
19-3935

Deposit Account Name

STAAS &amp; HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS &amp; HALSEY LLP

Typed Name	James G. McEwen	Reg. No.	41,983
Signature		Date	October 28, 2003

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